2016 Spring
Request for Proposals for
Seed Funding

The Agnese Nelms Haury Program in Environment and Social Justice (Haury Program) at the University of Arizona (University) is pleased to request proposals for seed funding. The Haury Program has $100,000 available in total for seed funding awards in spring 2016.

The Haury Program will determine the total number and dollar amounts of individual awards through a competitive review and selection process. The Haury Program expects to fund 2-4 grants. The funding opportunities occur through the University of Arizona (UA) Foundation (UAF) as charitable grants.

What is the Agnese Nelms Haury Program in Environment and Social Justice?

The world faces twin challenges: delivering a decent standard of living for everyone, while living within environmental limits. The Haury Program was established at the University of Arizona to invest its funding in programs, projects and people working to create a safe, just and sustainable space for all humanity. We currently focus primarily on work in the Southwest region, including Arizona, border lands and northern Mexico, and expect that this immediate focus will develop programs that provide impact in this community and models for programs that may be replicated or have value in other regions nationally and internationally.

In 2012, Oxfam economist Kate Raworth introduced a new sustainable development model for Rio +20 conference called the “Doughnut Model” in a working paper entitled A Safe and Just Space for Humanity. Oxfam’s model integrates human development with planetary limitations. Oxfam’s Doughnut model visualizes a space between planetary boundaries and a social floor where it is environmentally safe and socially just for humanity to exist.

The Haury Program uses this construct to visualize the social and environmental issues on which we focus our funding. The Haury Program’s modified version highlights the areas of primary concern in the Southwest. Our program funds work that improves social justice (justice in terms of the distribution of capital (including financial, social, political and human), opportunities, and privileges within a society) within the limits of environmental constraints in areas highlighted on the modified “Southwest Doughnut.” For example, currently funded Haury projects aid indigenous peoples’ communities in developing resilience to climate change, support Hispanic community voices in discussions about water issues, work with community members to improve knowledge about and resilience to climate change, work to alleviate food injustice in underserved neighborhoods, and help university students working with communities to improve social justice through education. To learn more about the seed grants awarded in fall 2015, visit http://haury.arizona.edu/news/agnese-nelms-haury-program-environment-and-social-justice-announces-its-first-competitive-grant.
What are the mission and goals of the Haury Program?

The mission of the Haury Program is to leverage the power of university-community partnerships to address environmental and social justice challenges.

Projects funded through this Request for Proposals (RFP) should have at least one of the following goals:

- Improve resilience of marginalized communities as they face the challenges posed by environmental constraints;
- Increase the diversity of voices in discussions of environmental issues and planning;
- Improve environmental health in marginalized communities;
- Advance opportunities for energy, food and water in marginalized communities;
- Improve gender equity in consideration of environmental challenges;
- Strengthen opportunities related to the nexus of social justice and the environment in the education pipeline (K-graduate school) for underserved youth;
- Strengthen organizations working on environmental justice issues;
- Improve networks for social justice and environment collaborations, resource identification and distribution.

All projects must include both University of Arizona and community collaborators. These partnerships are expected to be authentic collaborations focused on tangible and measurable community needs.
Definitions

*Seed charitable grants*: Seed charitable grants are one-time or well-defined prototype or model projects for completion within 1-2 years and projects to launch new sustainable programs that will obtain any future funding from other sources. Seed charitable grants fund new projects or can fund new components of ongoing programs. Projects should focus on addressing systemic change rather than funding stop-gap responses to environmental and social justice challenges. These teams must include University and community members with comparable contributions and receipt of comparable funding from this grant.

Those proposing can apply for funds up to $100,000 per year for up to two years. For reference, in fall 2015, the Haury Program awarded four grants, each funded at between $25,000 and $30,000 per year. Three of the projects received funding at this level for two years.

*Community partners*: Non-University of Arizona entities such as organizations working with underserved communities, school districts in underserved communities, Tribal nations, and other marginalized communities. Community colleges and school districts are also considered community partners. The Haury Program encourages additional partnerships with US federal, state, and local municipal (non-Tribal) governments programs and agencies but funding is not provided to these entities under this grant.

*Southwest*: For purposes of this RFP, “Southwest” includes the state of Arizona, the Arizona-Mexico borderlands, and northern Mexico.

Who is eligible to apply for these grants?

Proposals for seed funding must include both University and community partners and activities. Either a University of Arizona group or a community organization can be the lead on the project and submit the proposal. The University of Arizona Foundation (UAF) will disperse funding to the University of Arizona (faculty or staff) and to a lead non-profit organization as described under the appropriate provisions of the US Internal Revenue Code eligible to receive charitable grants. Examples of eligible organizations include nonprofit and nongovernmental organizations, community-based organizations, Tribal nations, and indigenous peoples and community groups, public charity, or public school district. Grantees must be located in the United States.

What does the Haury Program NOT fund?

Haury Program seed grant funding does not fund:

- Capital improvements or building or capital campaigns;
- Ongoing operational funding for existing programs or projects;
- Direct donations or grants to individuals;
- Political campaigns and legislative lobbying efforts; or
- Projects that exclusively serve religious purposes.

How are proposals evaluated?

All proposals will undergo a formal review process in accordance with the following: The proposals that meet the requirements of this call for proposals will be reviewed and considered by review panels, which are made up of subject matter experts of both the University of Arizona and the larger community.
The projects recommended by the review panels will be sent to the Haury Program Advisory Board and considered for funding. Submitted proposals will be evaluated as follows:

1. Initial review

The Haury Program will review proposals to determine whether they comply with the following mandatory requirements:

- Sufficiently justify the relevance of the project to the served community;
- Develop socially just solutions to environmental challenges identified in the Southwest Doughnut Model;
- Include substantive participation by University of Arizona and community partners;
- Include all required documents; and
- Clearly identify the focus, objectives and outputs of the project.

2. Review panel evaluation

The review panel will judge the proposals that pass the initial review according to the following general criteria:

- Clear and documented assessment of need for the work and rationale for this assessment;
- Clear explanation of how and why this project and/or collaboration addresses this need;
- Clear statement of all work to be performed as part of the project including responsibilities, roles and capabilities of community and University partners as well as expected outcomes;
- Match of work to capabilities, budget, schedule and milestones;
- Relevance of the work to the goals and mission of the Haury Program;
- Potential impact of the project to produce solutions to problems and transformational changes;
- Sustainability of the project/program/opportunity/collaborations or exit strategy (e.g., replication, adoption by others, mission achievement, sustained service) after the grant term; and
- Other funding sources (past, current for this work) or potential future leverage opportunities – note that matching funds are NOT required nor expected but information that demonstrates how Haury Program funding is/can be leveraged is welcome.

The Haury Program provides scoring templates based on these criteria for panel members.

3. Funding evaluation

The Program Advisory Board and Director will evaluate the review panel’s recommendations (based on score ranking) on the basis of strategic goals and in light of the portfolio of the Haury Program for presentation to the Haury Program Donor-advised Fund (DAF) Board, which then recommends to the University of Arizona Foundation.

The Haury Program strongly encourages opportunities for students and young faculty in all it funded projects.

No funding match is required but leveraging of Haury funding is encouraged.

**Use of Funds and Funding Allotments**

Funds may be used for salaries, materials and supplies, travel, equipment. Up to 12% of the funds allotted to an organization’s work may be used for administration of the project, i.e., manager’s salary (this is different from “indirect costs” - see Proposal Content, Budget Categories below). Managers and
other workers salaries are expected to be primarily for operational costs.

The University of Arizona Foundation (UAF) will disburse funds to the charitable grant recipients in accordance with Haury Program standard operating procedures and UAF requirements. Funds are directed to the University of Arizona partners (UA programs, centers, departments, schools or colleges) through UAF and University accounts. We expect that funds for community organizations (501 (c) (3) organizations) will be allocated directly from UAF to the lead non-profit entity on the project. Details will be provided to charitable grant recipients in the award letter.

Continued funding for multi-year grants will be contingent upon demonstrated progress at the end of each year; poor progress may result in reduced budget in subsequent years or termination of funding.

Special requirements for University of Arizona Partners

These proposals and projects are not subject to UA Sponsored Projects review or processing.

What are the proposal requirements?

Proposal due date

Proposals are due on May 16, 2016. Proposals received after this date will not be considered. The Haury program will open another opportunity for seed charitable grants in Fall 2016 for funding starts in January 2017.

Funding Dates

The funding start date for seed charitable grants is July 2016. Seed charitable grants will run from July 2016 through June 2017. Funding for two-year projects will extend through June 2018, but allocation of the second year of funding will be based on demonstrated progress during the first year.

Proposal content

All proposals must be written in English.

Seed charitable grants proposals must include the following information in order to be considered:

• Summary (250 words);
• Contact information (point of contact name, organization, email, phone, address)
• Proposal (up to 6 pages)
  • Project goals and objectives;
  • Relevance to the goals of the Haury Program outlined in this RFP;
  • Social and Environmental categories (Southwest Doughnut) addressed;
  • Communities benefited by this project;
  • Description of the proposed activity and work plan (including needs assessment, a summary of work already conducted and/or related community programs, expected benefits of the project);
  • Description of partnerships and how the project will promote interaction among collaborators and communities;
  • Description of expected outcomes and impact;
  • Description of dissemination of outcomes, impact to wider audiences and sustainability of project or outcomes including outcome measures;
  • Description of evaluation process;
  • Schedule with milestones;
• Detailed budget including any information concerning resources from additional sources (see template);  
• Letter of commitment and role in project by non-lead organization representative; and  
• Short bio of the project leaders demonstrating relevant experience (1 page/person).

Budget categories: salaries and benefits, supplies, travel, services (e.g., consultant services), and other (e.g., rental of workshop space), indirect costs (up to 10% of direct costs for University partners, federally approved rate or up to 10% direct costs for non-University partners). Note that both University and community partners can include up to 12% management/administrative in salaries.

**What is the proposal format?**

All proposals must be delivered electronically in portable document format (PDF) as described below.

**Attached file and name**

Proposals must be attached as a single portable document format (PDF) and titled as follows: Proposer’s last name_Abbreviated Name of the Institution.MonthYearofDelivery_Proposal

Examples:

Jane Smith of Community Solutions for Environmental Justice presents a proposal in May 2016, the file name should be: SmithJ_0516_Proposal.pdf.

Pedro Sanchez of the University of Arizona presents a proposal in May 2016, the file name should be: SanchezP_0516_Proposal.pdf.

**Multiple Files**

Proposals containing multiple files will not be accepted.

**Electronic delivery**

All proposals must be delivered electronically to aspitz@email.arizona.edu.

**For information on delivering proposals or for questions:**

• Information about the RFP:
  • Information sessions scheduled for April 7 and 8, 2016;
  • http://haury.arizona.edu/grantsS2016/FAQS2016
  • Anna H. Spitz
    • aspitz@email.arizona.edu
    • 520-626-4584
    • Environment and Natural Resources 2 (ENR2) Building, 5th Floor, University of Arizona, 1064 E Lowell Street, Tucson, AZ 85721.
  • Information about the Haury Program in Environment and Social Justice: http://haury.arizona.edu.

**What are the general reporting and outreach requirements?**

Charitable grant recipients will be required to present the following:

• Progress reports as required by the Haury Program (for multi-year programs, these are annual
reports that include narrative and financial information);
• Final Report (including program evaluation, final costs aligned to original proposal, summary of work, graphics, videos and photos, continued or proposed follow-on work);
• Public and professional presentations and publications as appropriate; and
• Other requirements as specified in the award letter.

What are the key dates for the Spring 2016 charitable grants?

Calendar for Seed Charitable Grants

<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
<th>Activity</th>
<th>Location</th>
</tr>
</thead>
<tbody>
<tr>
<td>03/08/16</td>
<td>10:30 AM - 12:00 PM</td>
<td>Haury Program Workshop: UA researchers, staff, students and community non-profits collaborative opportunities</td>
<td>Sam Lena Library Large Meeting Room</td>
</tr>
<tr>
<td>03/15/16</td>
<td></td>
<td>Request for Proposals release date</td>
<td></td>
</tr>
<tr>
<td>04/07/16</td>
<td>4:00 PM - 5:00 PM</td>
<td>Pre-proposal Meeting</td>
<td>Columbus-Eckstrom Library Large Meeting Room</td>
</tr>
<tr>
<td>04/08/16</td>
<td>4:00 PM - 5:00 PM</td>
<td>Pre-proposal Meeting</td>
<td>University of Arizona, Environment and Natural Resources 2 Building, N595</td>
</tr>
<tr>
<td>05/16/16</td>
<td>11:59 PM</td>
<td>Proposals Due</td>
<td></td>
</tr>
<tr>
<td>06/30/16</td>
<td></td>
<td>Notification of Awards</td>
<td></td>
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<tr>
<td>07/01/16</td>
<td></td>
<td>Funding starts</td>
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Honesty, Transparency, Effectiveness, Economy and Efficiency

An obligation of this program is to manage the available financial resources with transparency, efficiency, effectiveness, economy and honesty, to meet the objectives for which they are intended. Beneficiaries must observe these principles as well, managing funds that are granted to them for the proper performance of the purposes. To do so, they must submit reports on the terms set out in the Agreement between the Haury Program and grantees.

Any breach of these principles shall be cause for cancellation of the support.

Equity and Non-Discrimination

The recipients of Haury Program charitable grants are selected through competitive, efficient, equitable, transparent and public procedures based on merit and quality; charitable grants that are awarded are subject to processes of evaluation, selection, formalization and follow-up, in terms of the regulatory framework that corresponds to each program, therefore, the Haury Program in the allocation of resources to beneficiaries, is obligated not to discriminate the proponents for any reason or social status.

Interpretation and Unforeseen Issues

The interpretation of this call and issues not covered herein will be resolved by the Haury Program, and its resolutions will be final.

Miscellaneous

The Haury Program does not provide office space or other facilities or equipment for grantees. All support, equipment and facilities must be provided by grantee and/or included in the budget.
The Haury Program reserves the right to forgo or postpone funding.

**Frequently Asked Questions**

Please email your questions to aspitz@email.arizona.edu. We will post answers to all questions on the frequently asked questions (FAQs) link on the website at haury.arizona.edu/grants2016/FAQs2016.